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447-01-75  
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PATENT  
Attorney Docket No.: SONY-11300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APR 16 2001  
Technology Center 2600

In re Application of: )  
Quan A. Vu *et al.* ) Group Art Unit: 2612  
Serial No.: 09/249,642 ) Examiner: Wilson, J.  
Filed: February 12, 2000 ) **TRANSMITTAL LETTER**  
For: **A METHOD OF AND APPARATUS** ) 260 Sheridan Avenue, Suite 420  
**FOR GENERATING A PRECISE** ) Palo Alto, CA 94306  
**FRAME RATE IN DIGITAL VIDEO** ) (650) 833-0160  
**TRANSMISSION FROM A** )  
**COMPUTER SYSTEM TO A** )  
**DIGITAL VIDEO DEVICE** )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

A \$240.00 check is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Supplemental Information Disclosure Statement after three months as set forth in 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 6, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

Attorneys for Applicants

- 1 -

HAVERSTOCK & OWENS LLP.  
Date: 4/6/01 By: Jonathan O. Owens



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) DISCLOSURE STATEMENT  
)  
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For: **A METHOD OF AND** ) 260 Sheridan Avenue, Suite 420  
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**A PRECISE FRAME RATE IN** ) (650)833-0160  
**DIGITAL VIDEO TRANSMISSION** )  
**FROM A COMPUTER SYSTEM** )  
**TO A DIGITAL VIDEO DEVICE** )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,713,702;
- U.S. Patent No. 5,128, 677;
- U.S. Patent No. 5,400,340;
- U.S. Patent No. 5,402,419;
- U.S. Patent No. 5,412,698;

04/12/2001 JADD01 00000043 09249642

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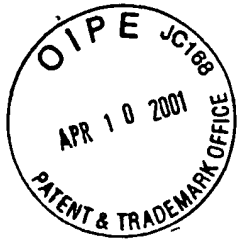
- 1 -

Repln. Ref: 04/12/2001 JADD01 0012390900  
DAB:081275 Name/Number:09249642  
FC: 704 \$60.00 CR

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.  
Date: 4/6/01 By: [Signature]



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- U.S. Patent No. 5,434,860;
- U.S. Patent No. 5,444,709;
- U.S. Patent No. 5,493,570;
- U.S. Patent No. 5,546,389;
- U.S. Patent No. 5,594,734;
- U.S. Patent No. 5,596,581;
- U.S. Patent No. 5,598,415;
- U.S. Patent No. 5,602,841;
- U.S. Patent No. 5,621,725;
- U.S. Patent No. 5,668,811;
- U.S. Patent No. 5,710,773;
- U.S. Patent No. 5,781,599;
- European Publication No. 0 428 111 A2;
- Teener, M., "A Bus on a Diet - The Serial Bus Alternative: An Introduction to the P1394 High Performance Serial Bus," Apple Computer Inc, Santa Clara, CA, Doc. No. XP000340753, 1992 IEEE;
- "Architecture for High Performance Transparent Bridges," Software Patent Institute Database of Software Technologies, Record 10, Serial number TDB0792.0100, July, 1992;
- "Data Link Driver Program Design for the IBM Token Ring Network PC Adapter," Computer Communications, pp. 266-272, No. 5, October 12, 1989, GB; and
- Bloks, R.H.J, "The IEEE-1394 High Speed Serial Bus," Philips Journal of Research, pp. 209-216, Vol. 50, No. 1/2, 1996.



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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: April 6, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

FORM PTO-1349 (Modified) <b>APR 10 2001</b> (37 CFR § 1.986)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SONY-11300 Serial No.: 09/249,642		Applicant: Quan A. Vu <i>et al.</i> Filing Date: February 12, 2000 Group Art Unit:		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)								
U.S. PATENT DOCUMENTS								
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date		
	AA	4,713,702	Ishihara <i>et al.</i>	360	79	9/5/84		
	AB	5,128,677	Donovan <i>et al.</i>	341	177	9/15/89		
	AC	5,400,340	Hillman <i>et al.</i>	370	105.3	3/4/93		
	AD	5,402,419	Osakabe <i>et al.</i>	370	85.1	12/17/93		
	AE	5,412,698	Van Brunt <i>et al.</i>	375	373	3/16/93		
	AF	5,434,860	Riddle	370	84	4/20/94		
	AG	5,444,709	Riddle	370	94.1	9/30/93		
	AH	5,493,570	Hillman <i>et al.</i>	370	105.3	3/15/95		
	AI	5,546,389	Wippenbeck <i>et al.</i>	370	60	7/12/94		
	AJ	5,594,734	Worsley <i>et al.</i>	370	395	11/1/93		
	AK	5,596,581	Saeijs <i>et al.</i>	370	394	6/3/94		
	AL	5,598,415	Nuber <i>et al.</i>	370	474	8/4/95		
	AM	5,602,841	Lebizay <i>et al.</i>	370	413	3/15/95		
	AN	5,621,725	Kawamura <i>et al.</i>	370	43	5/24/95		
	AO	5,668,811	Worsley <i>et al.</i>	370	424	6/7/95		
	AP	5,710,773	Shiga	370	512	7/14/95		
	AQ	5,781,599	Shiga	375	376	9/6/95		
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AR	0 428 111 A2	5/22/91	EP	G06F	13/28		
	AS							
	AT							
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	AU	Teener, M., "A Bus on a Diet - The Serial Bus Alternative: An Introduction to the P1394 High Performance Serial Bus," Apple Computer Inc, Santa Clara, CA, Doc. No. XP000340753, 1992 IEEE.						
	AV	"Architecture for High Performance Transparent Bridges," Software Patent Institute Database of Software Technologies, Record 10, Serial number TDB0792.0100, July, 1992.						
	AW	"Data Link Driver Program Design for the IBM Token Ring Network PC Adapter," Computer Communications, pp. 266-272, No. 5, October 12, 1989, GB.						
	AX	Blok, R.H.J., "The IEEE-1394 High Speed Serial Bus," Philips Journal of Research, pp. 209-216, Vol. 50, No. 1/2, 1996.						
	AY							
Examiner:				Date Considered:				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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